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| Substitute for form 1449A/PTO  |              | Complete if Known |            |                        |                         |
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| Oggodino of the form of the fo |              |                   |            | Application Number     | 10/613,359              |
| INFORMATION DISCLOSURE   |              |                   |            | Filing Date            | July 3, 2003            |
| STATEMENT BY APPLICANT   |              |                   |            | First Named Inventor   | Per O. LJUNGDAHL et al. |
| O I / ( E WIE I V E V E V E V E V E V E V E V E V E  |              |                   |            | Art Unit               | Unknown                 |
|  | (use as many | sheets as         | necessary) | Examiner Name          | Unknown                 |
| Sheet  | 1            | of                | 1          | Attorney Docket Number | LUD 5839 (10304693)     |

| U.S. PATENT DOCUMENTS |                          |   |                  |   |  |  |
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| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Document Number  Number-Kind Code <sup>2</sup> (if known) | Publication Date | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant<br>Passages or Relevant<br>Figures Appear |  |
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| FOREIGN PATENT DOCUMENTS |              |   |                                |  |  |    |  |
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| Examiner<br>Initials*    | Cite<br>No.1 | Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> ( <i>if known</i> ) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant<br>Passages or Relevant<br>Figures Appear | T⁵ |  |
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup> See attached Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WiPO Standard ST.3). \*For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

|                      |              | NON PATENT LITERATURE DOCUMENTS  |                |
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| Examiner<br>Initials | Cite<br>No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
| TK                   | CA           | Claes ANDREASSON et al., "Receptor-mediated endoproteolytic activation of two transcription factors in yeast," Genes & Development, 16:3158-3172 (2002)  |                |

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| Examiner<br>Signature | Jammy K. Field | Date<br>Considered | 3 | 30 | 104 |
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